



# ICAP Grand Rounds

## Assessing the Impact of National HIV Programs in Africa through Population Surveys

### PHIA Project Updates and Data Tools

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**May 7, 2019**



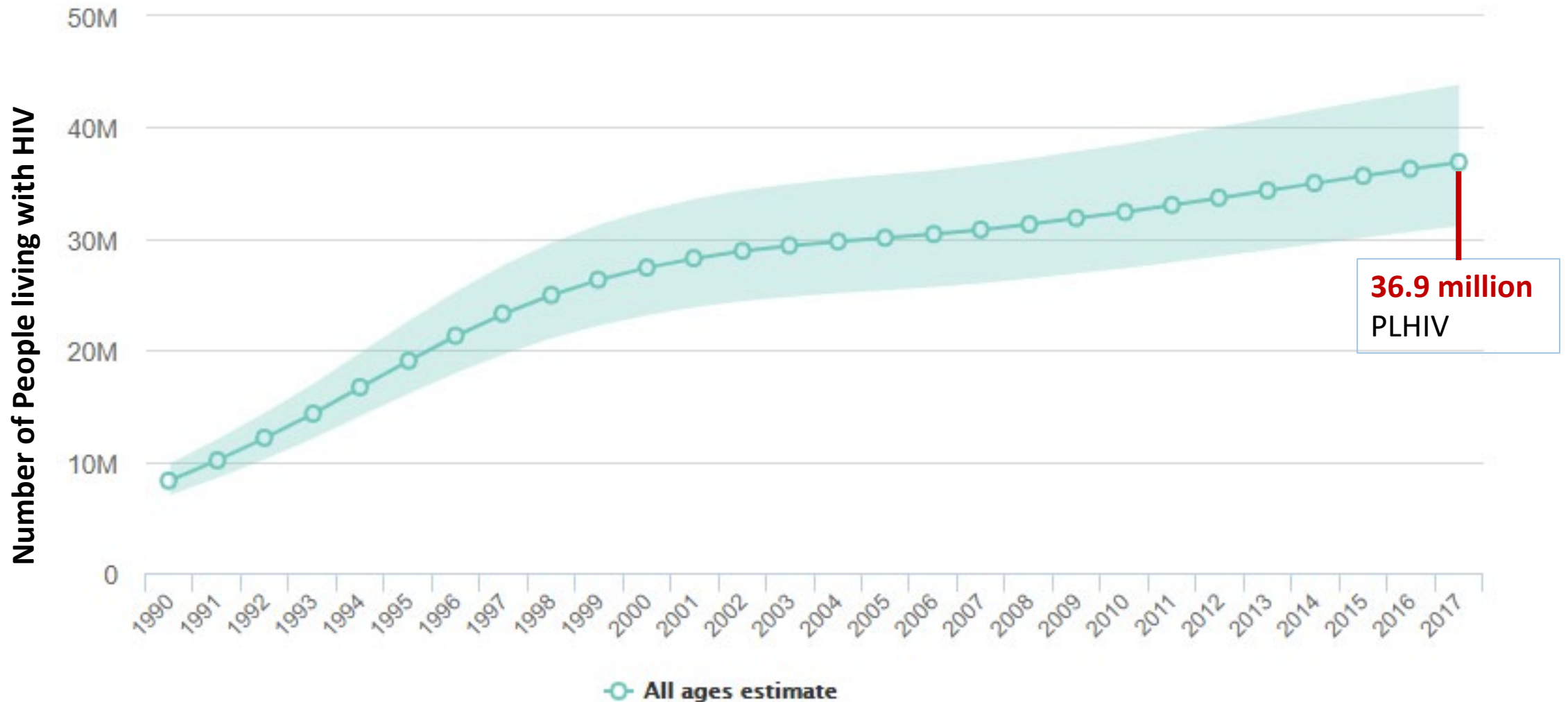
**ICAP**

Empowering Health  
Columbia University  
Mailman School  
of Public Health

# Presentation Outline

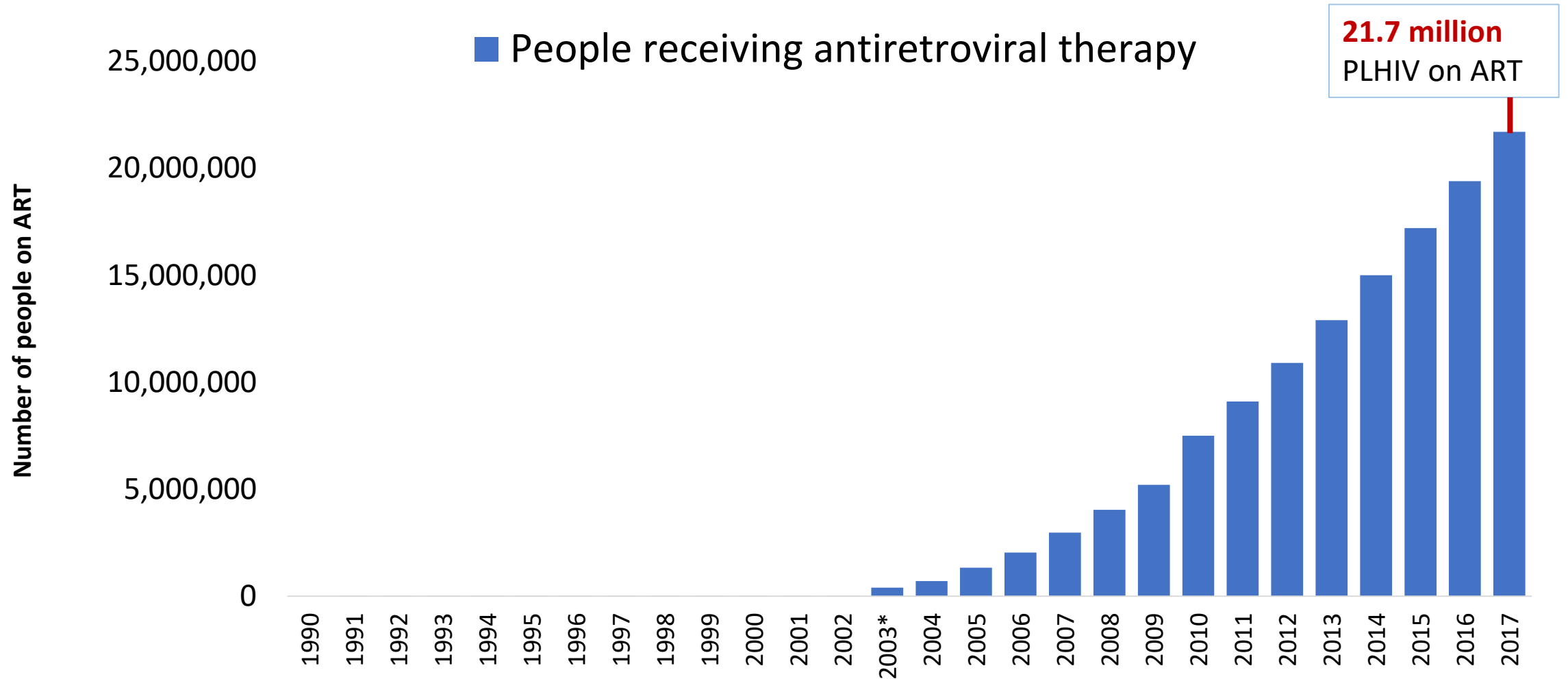
- **Background**
  - Global HIV epidemiology
- **PHIA Project**
  - Methods: survey design and lab
  - Results
  - Reports
  - Data visualization (video)
  - Public release dataset (video)
- **Conclusions**

# In 2017, 36.9 million people were living with HIV globally



Source: UNAIDS 2018 estimates

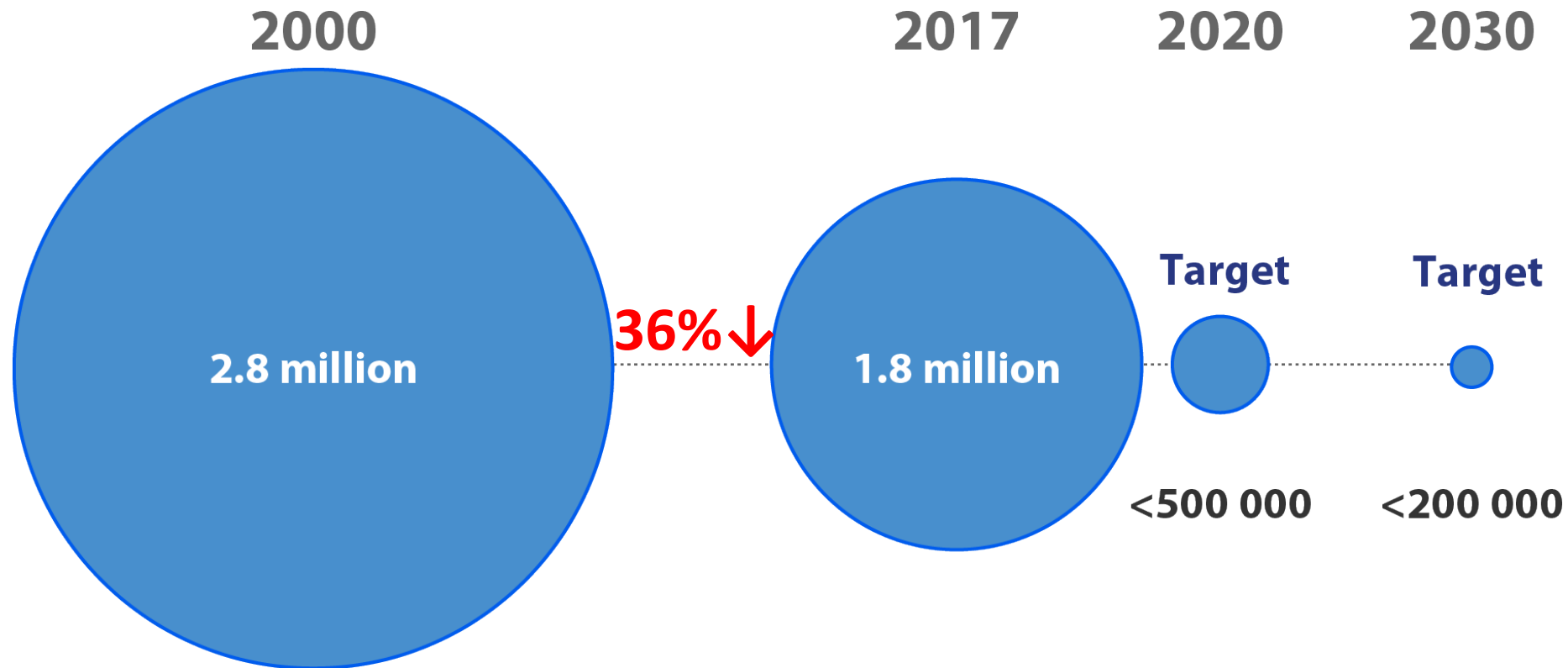
# Global scale-up of antiretroviral therapy



\* Launch of the “3 by 5” initiative

Source: UNAIDS /WHO estimates

# Global number of annual new HIV infections and targets for 2020 & 2030



Source: UNAIDS /WHO estimates

# UNAIDS Global Targets



90%

diagnosed



90%

on treatment



90%

virally suppressed

# Population-based HIV Impact Assessment (PHIA) Project



**Goal:** To conduct nationally representative, HIV-focused household surveys to assess the HIV epidemic and the impact of response programs in countries that have been heavily affected by the HIV epidemic

- 1<sup>o</sup> objectives: national HIV incidence and subnational prevalence of viral load suppression among adults
- 2<sup>o</sup> objectives: HIV prevalence, 90-90-90 cascades, detectable antiretrovirals, among others

# PHIA Project Methods: Survey Design, Sample Size, Eligibility & Data Collection

<b>Survey Design</b>	Cross-sectional, two-stage cluster sample to achieve nationally representative cohorts
<b>Sample size</b>	Powered for national HIV incidence and subnational VLS Approximately 30,000 participants, including 5,000 -10,000 children
<b>Eligibility</b>	Household members who slept over the night before
<b>Questionnaires</b>	Household, adult and adolescent demographic, behavioral and clinical information
<b>Data collection</b>	Electronic data capture Approximately six months of field work
<b>Weighting</b>	Sample weighted to adjust for selection probability, differences in non-response and to achieve population representativeness



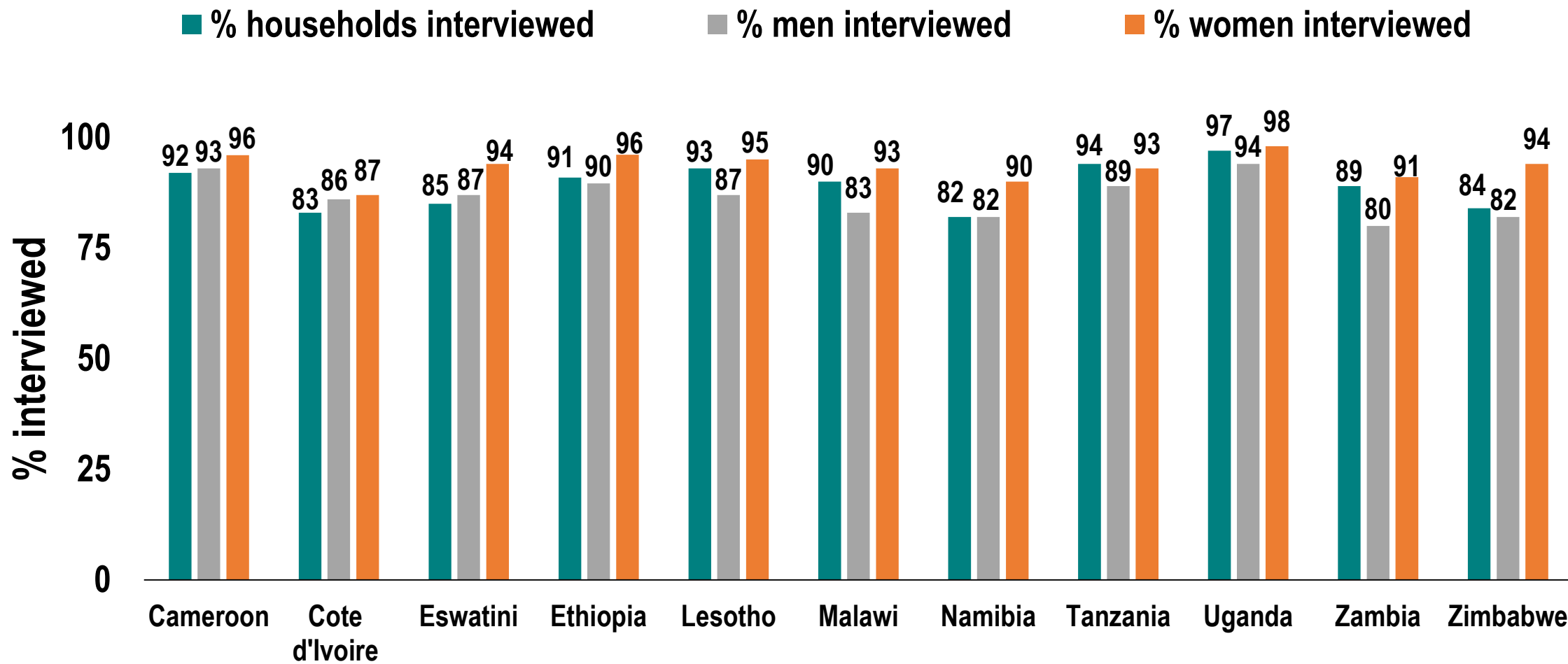
# PHIA Project: Lab Methods

<b>Household</b>	<ul style="list-style-type: none"><li>• Venous blood draw; finger/heel stick for &lt;2 years</li><li>• HIV rapid testing per each national algorithm; CD4+ for HIV+</li><li>• Return of results and counseling</li></ul>
<b>Satellite Lab</b>	<ul style="list-style-type: none"><li>• HIV confirmatory testing</li><li>• Quality assurance testing</li></ul>
<b>Central Lab (in-country)</b>	<ul style="list-style-type: none"><li>• HIV RNA</li><li>• HIV DNA (early infant diagnosis) for 0-18 month olds</li><li>• HIV-1 limiting antigen (LAg)-avidity EIA</li><li>• Incidence: LAg &lt; 1.5 ODn, VL &gt; 1000 c/mL and absence of detectable ARVs</li></ul>
<b>Other Testing</b>	<ul style="list-style-type: none"><li>• Qualitative ARV detection (HPLC tandem MS)</li><li>• Genotyping and drug resistance</li></ul>



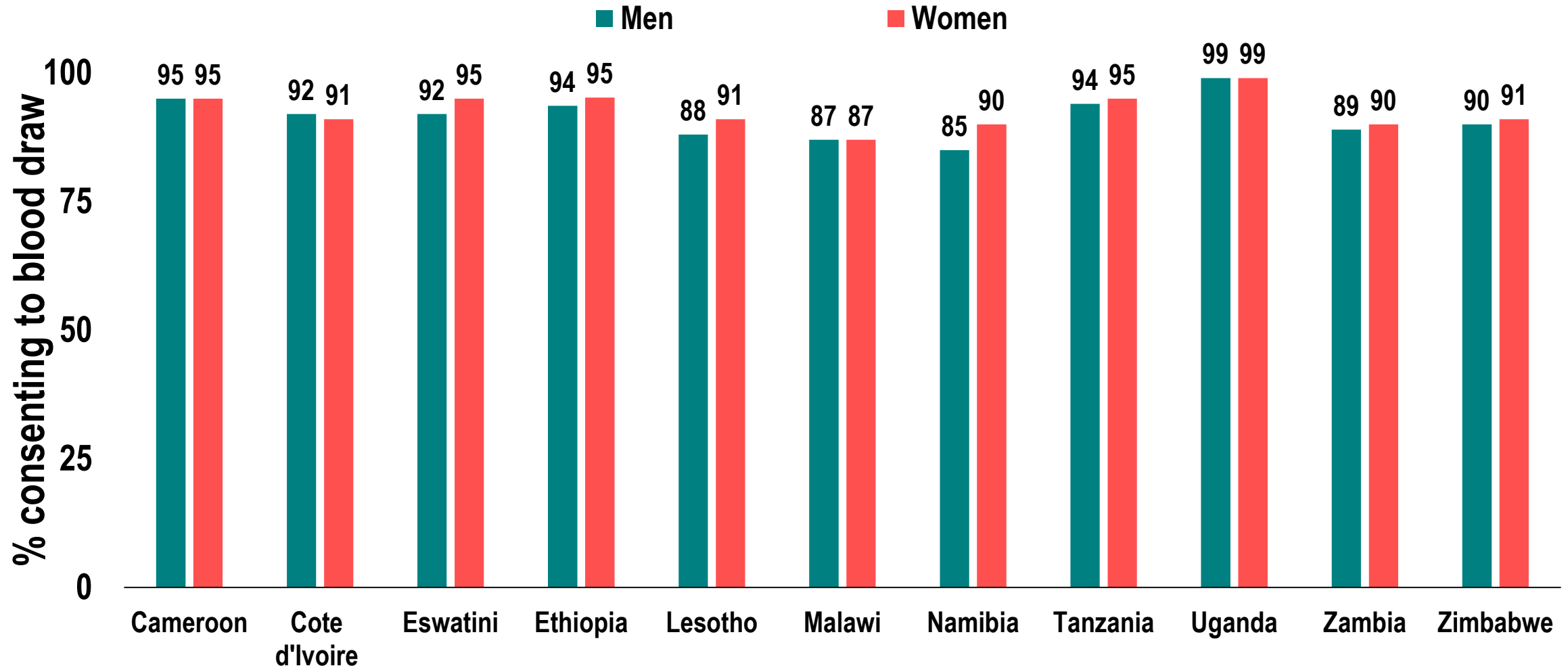
# Results

# Most eligible households and individuals participated



<https://phia.icap.columbia.edu/>

# Most self-reported HIV-positive participants consented to provide blood



<https://phia.icap.columbia.edu/>



# High quality of biomarkers

**As of March 2019, a total of 375,000 blood samples were collected**

**99.2%**

**questionnaires  
completed without  
errors**

**98.6%**

**adult participants  
provided sample with  
expected blood volume**

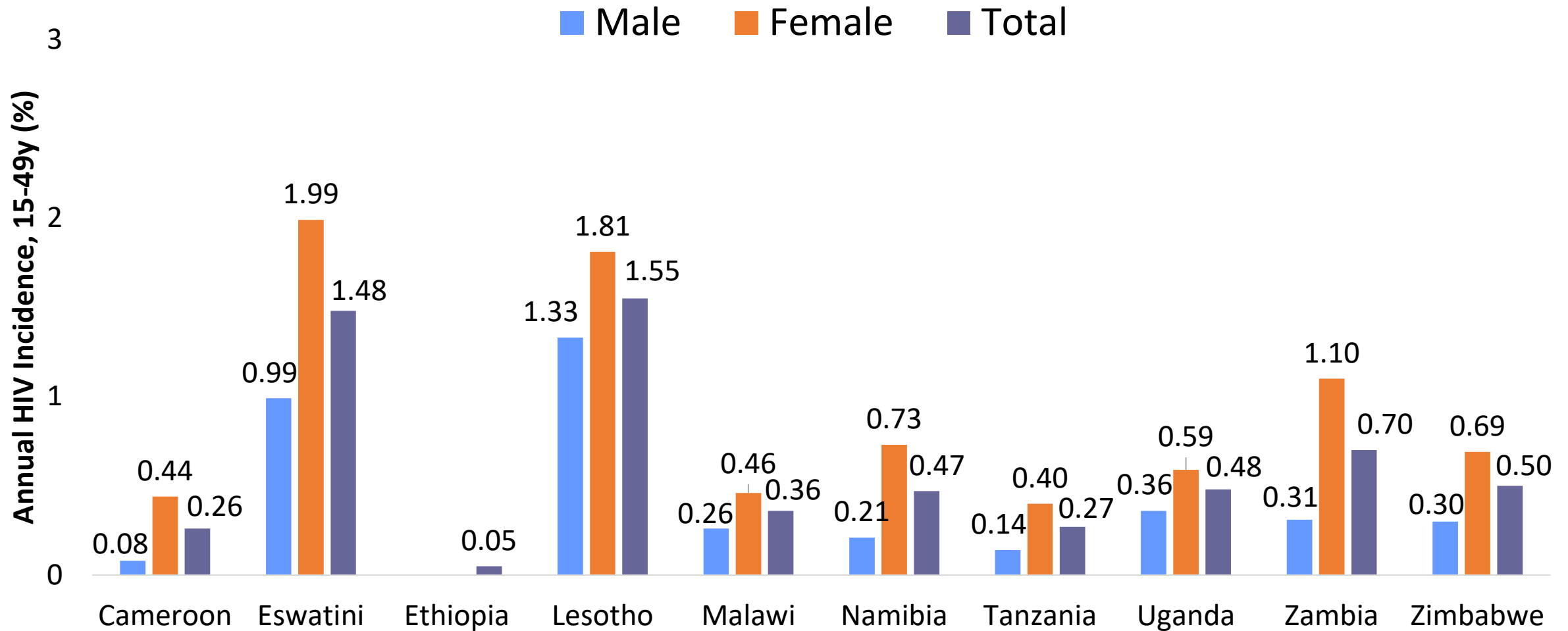
**99.8%**

**specimens with <24h  
arm-to-freezer time**

**99.6%**

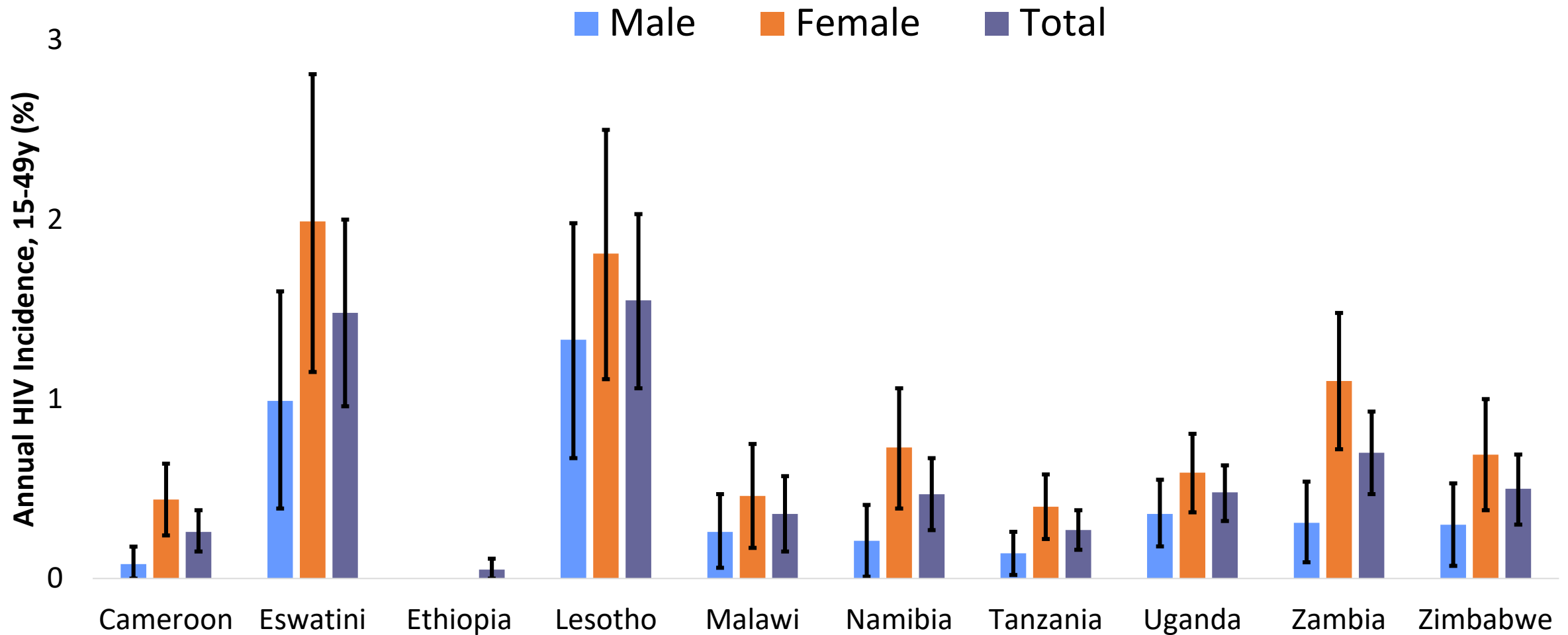
**specimens with no  
hemolysis or clotting**

# Annual HIV incidence among adults (15-49 years) without ARV adjustment



<https://phia.icap.columbia.edu/>

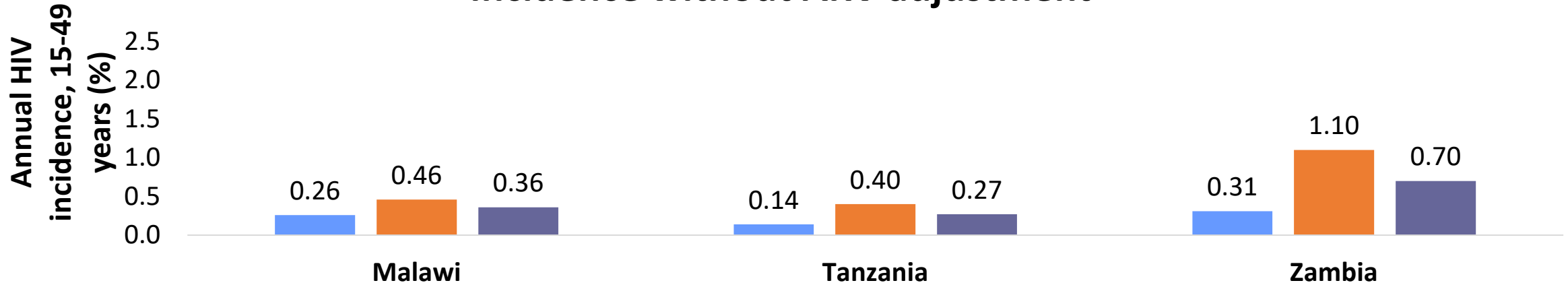
# Annual HIV incidence among adults (15-49 years) without ARV adjustment



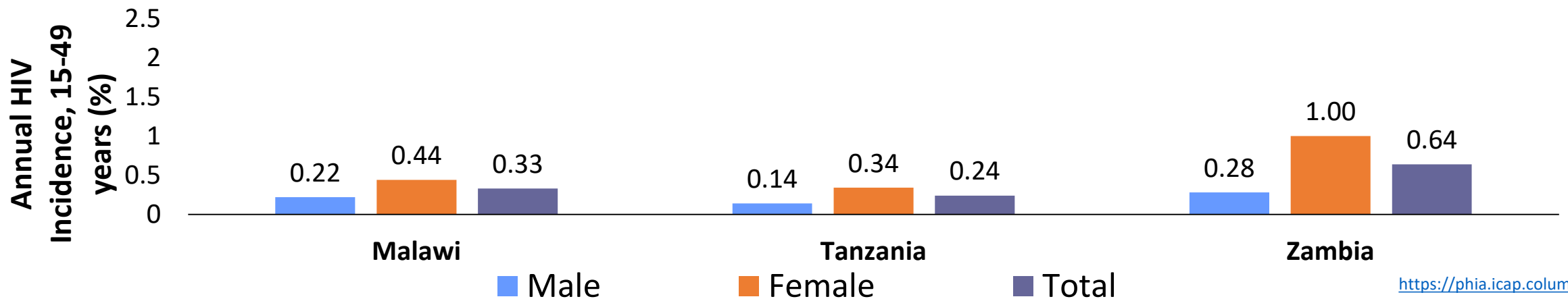
<https://phia.icap.columbia.edu/>

# Annual HIV incidence incorporating ARV detection into the recent infection algorithm

## Incidence without ARV adjustment



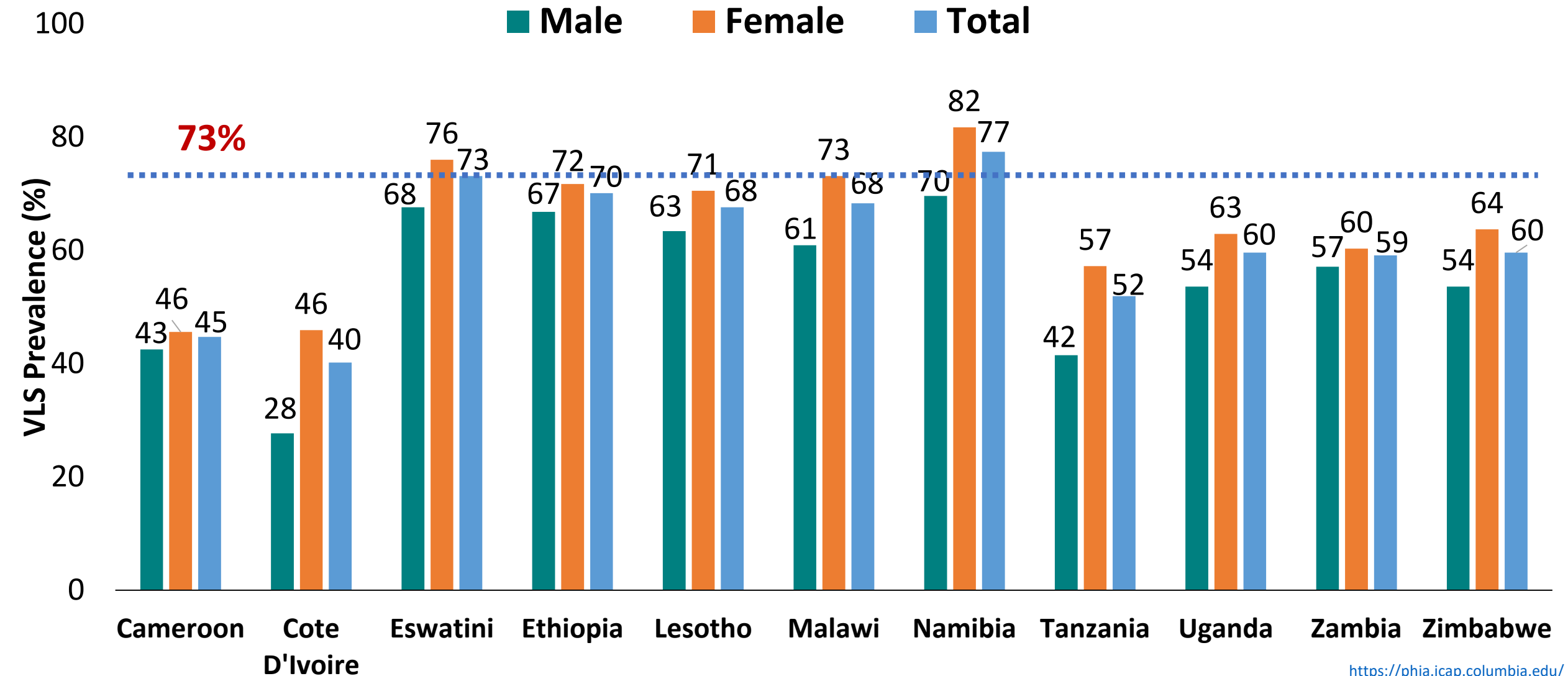
## Incidence with ARV adjustment



<https://phia.icap.columbia.edu/>

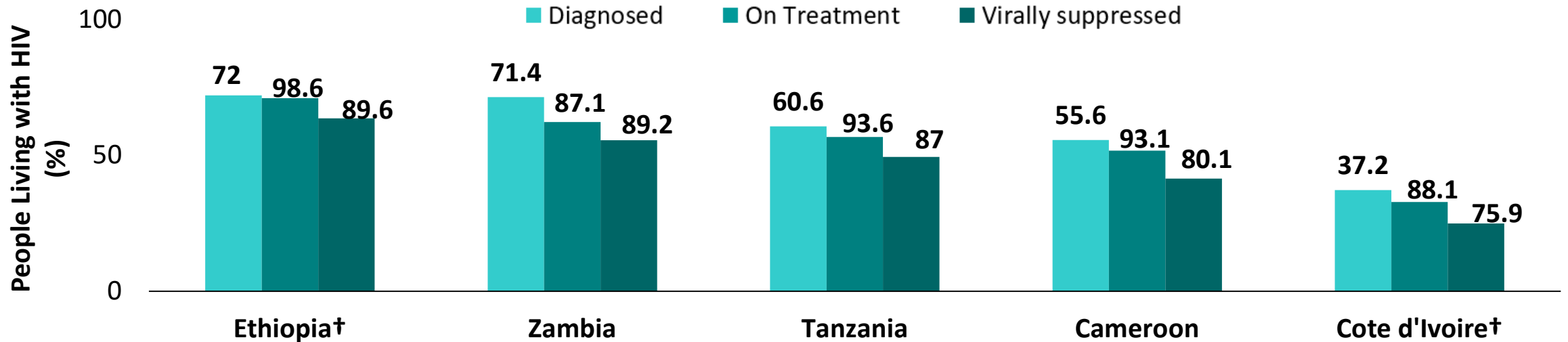
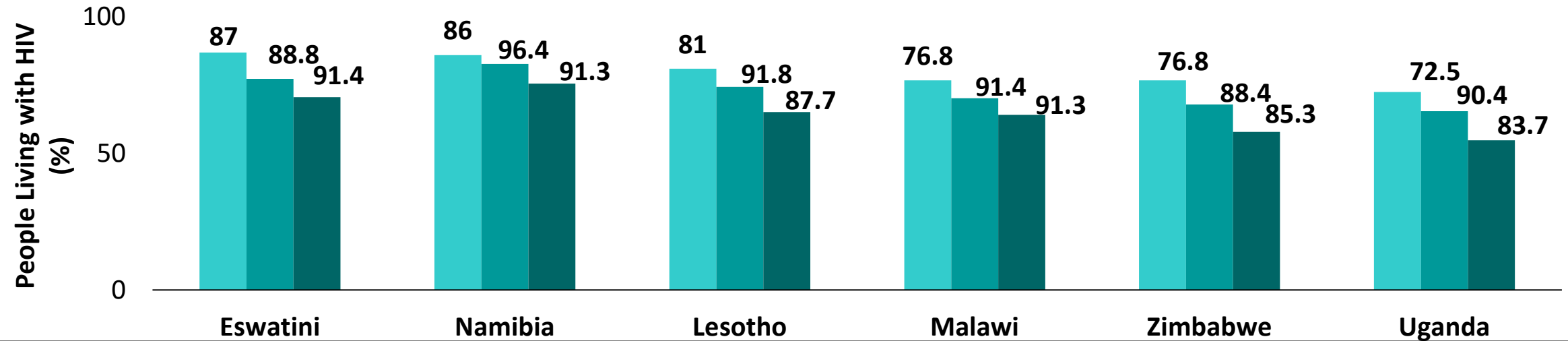


# Viral load suppression (HIV RNA <1000 c/mL) among HIV-positive persons



<https://phia.icap.columbia.edu/>

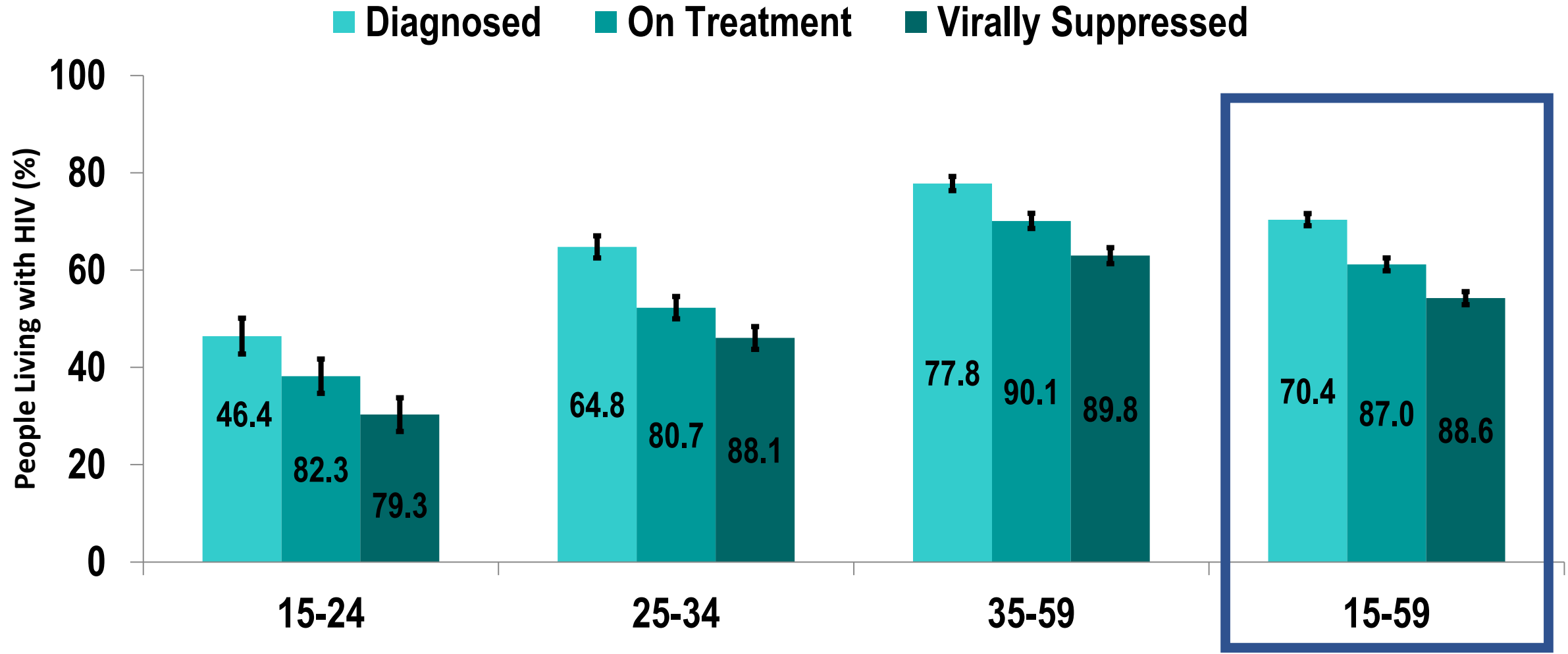
# Results: 90-90-90 among adults



\*Adjusted for ARVs unless otherwise specified; †Not adjusted for ARVs;  
Column labels indicate conditional proportions; Column heights indicate unconditional proportions

<https://phia.icap.columbia.edu/>

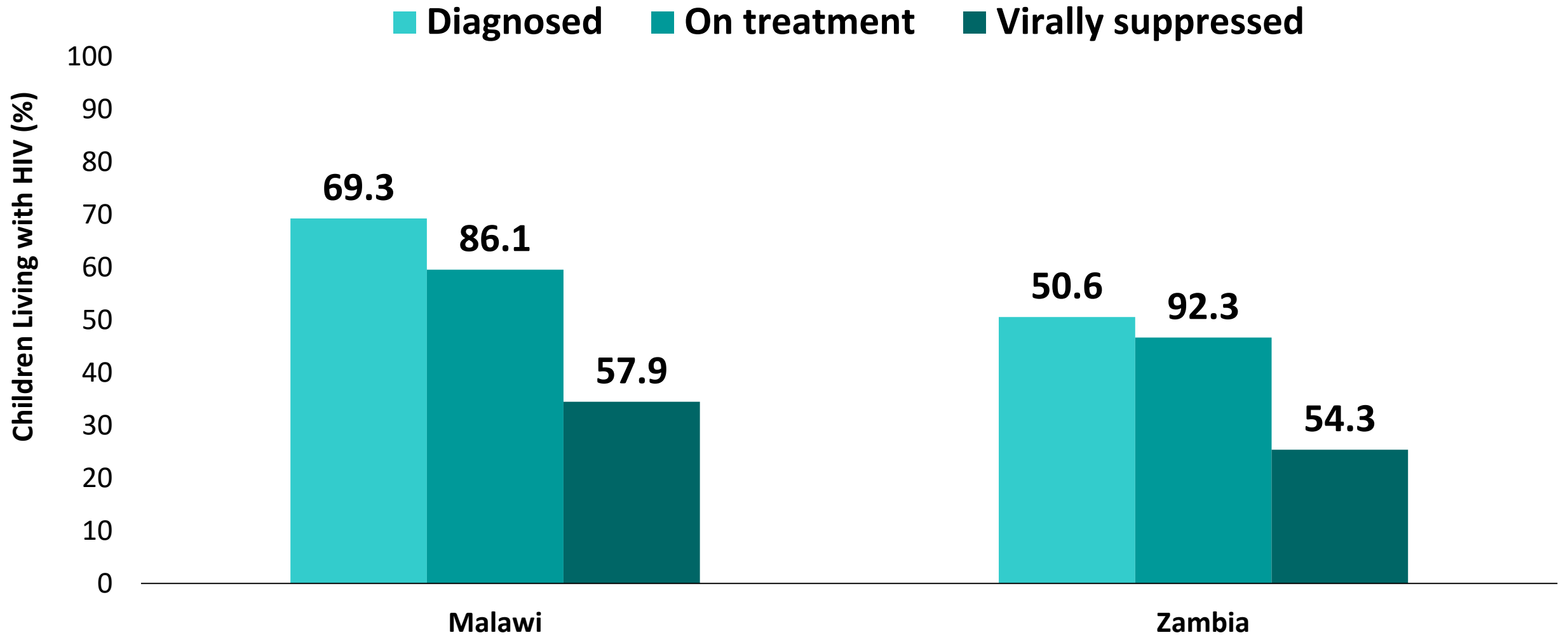
# 90-90-90 among adults by age (3-Country Combined)



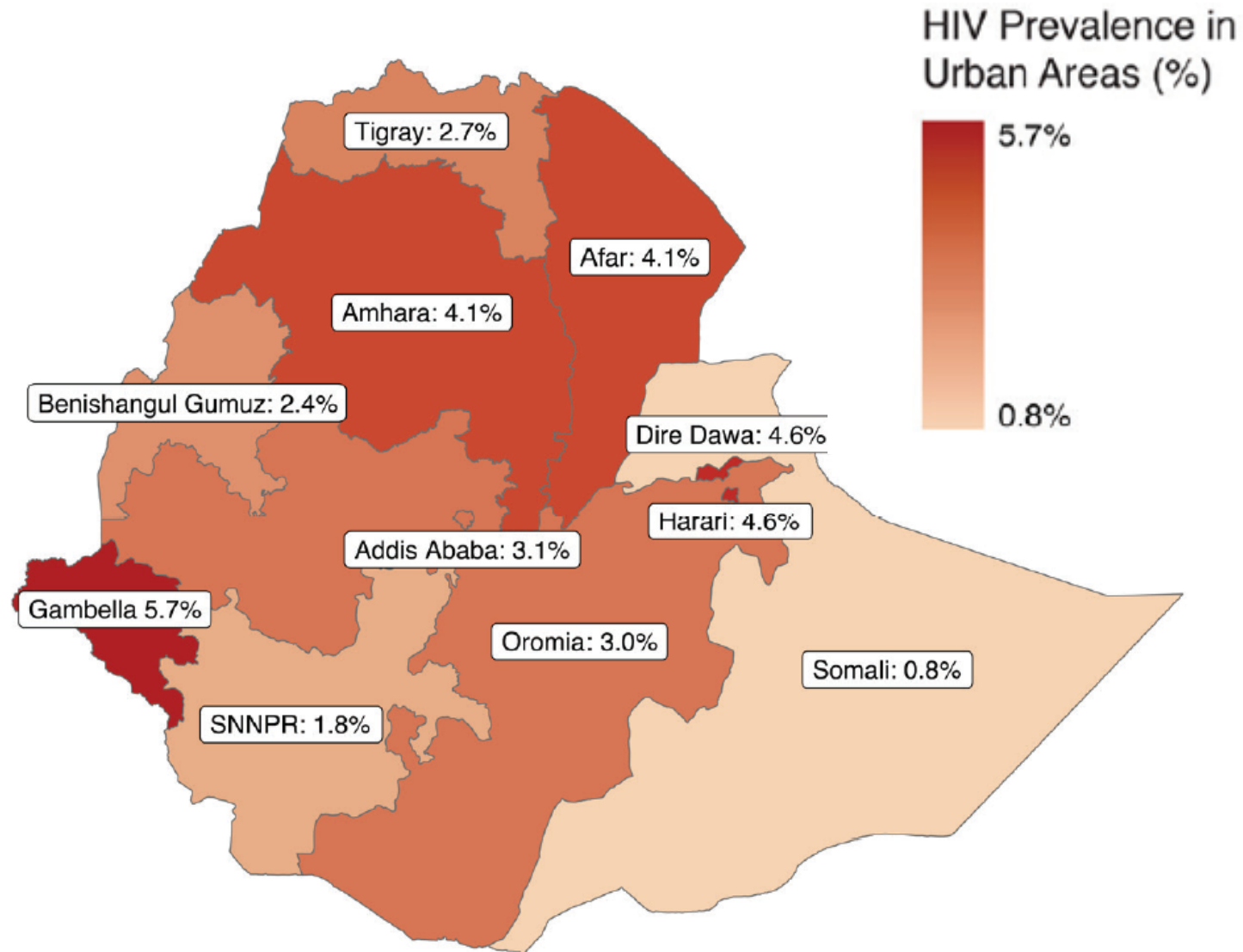
Column labels indicate conditional proportions; Column heights indicate unconditional proportions

CROI #114 LB, 2016

# Pediatric 90-90-90 Malawi and Zambia, 0-14 years

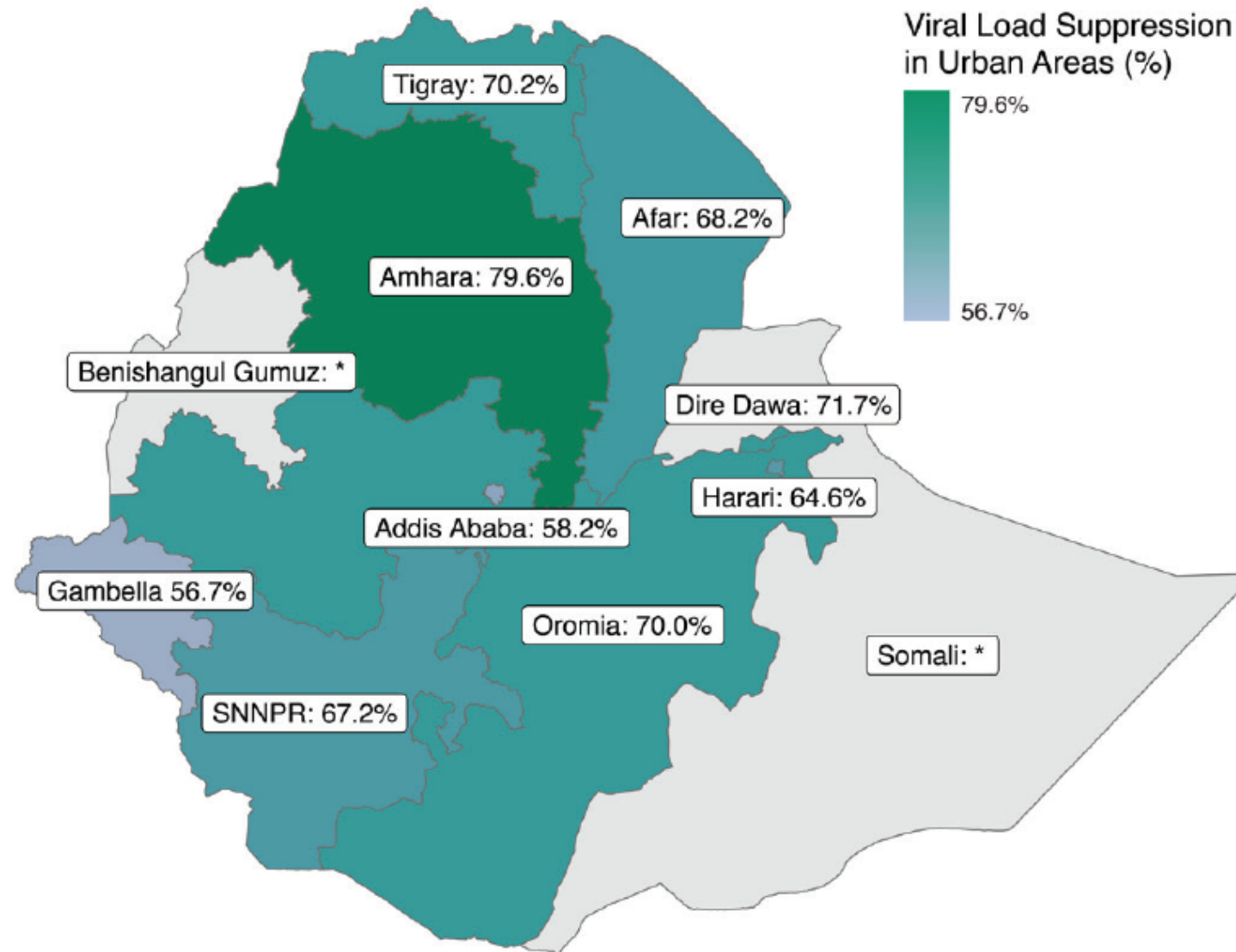


# HIV prevalence among adults in urban Ethiopia

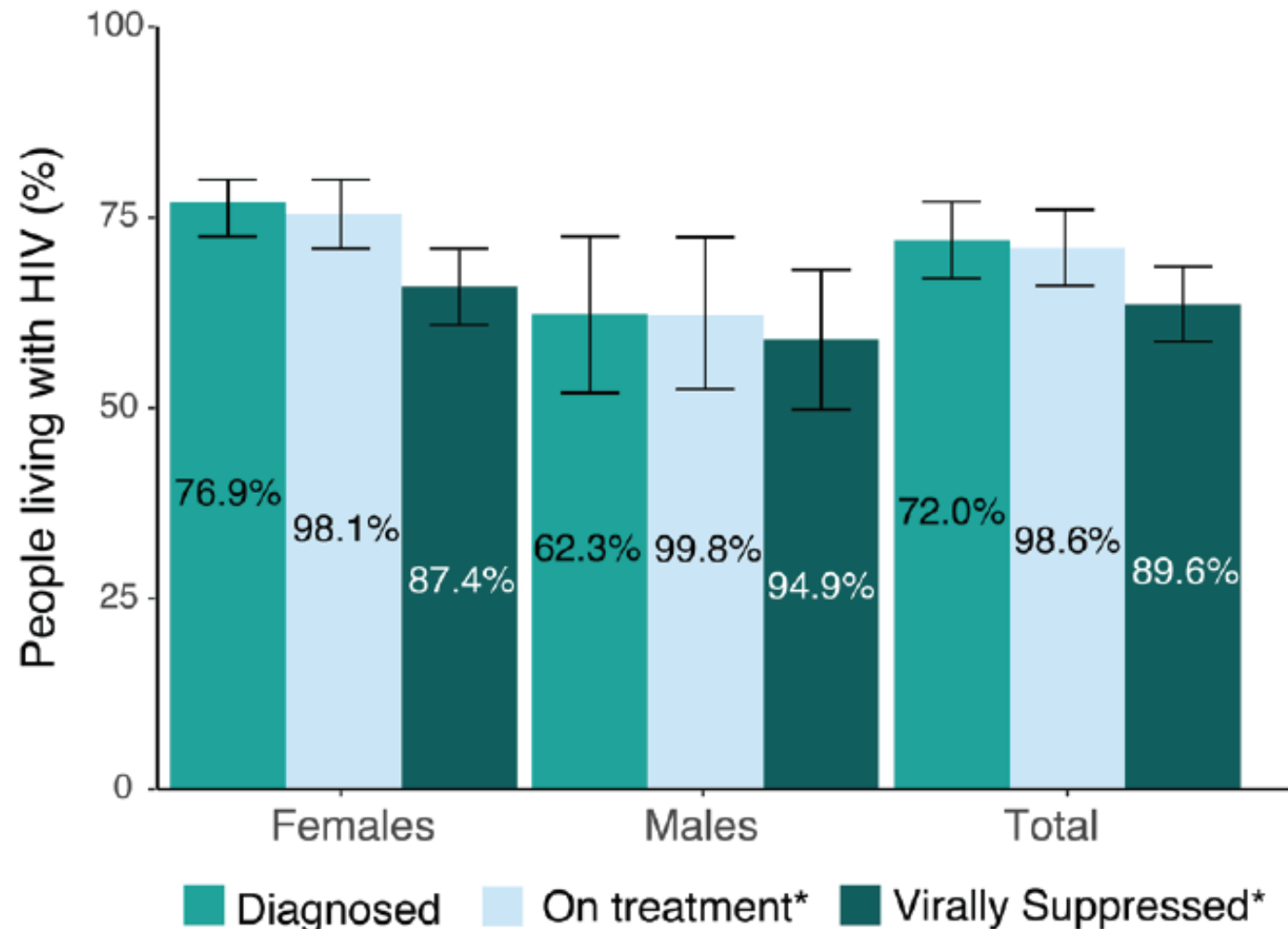


<https://phia.icap.columbia.edu/>

# Viral load suppression (HIV RNA <1000 c/mL) among HIV-positive adults in urban Ethiopia



# 90-90-90 among adults living with HIV in urban Ethiopia, by sex



Percentages shown in the graph refer to the conditional 90-90-90 targets  
Error bars represent 95% confidence intervals.

<https://phia.icap.columbia.edu/>

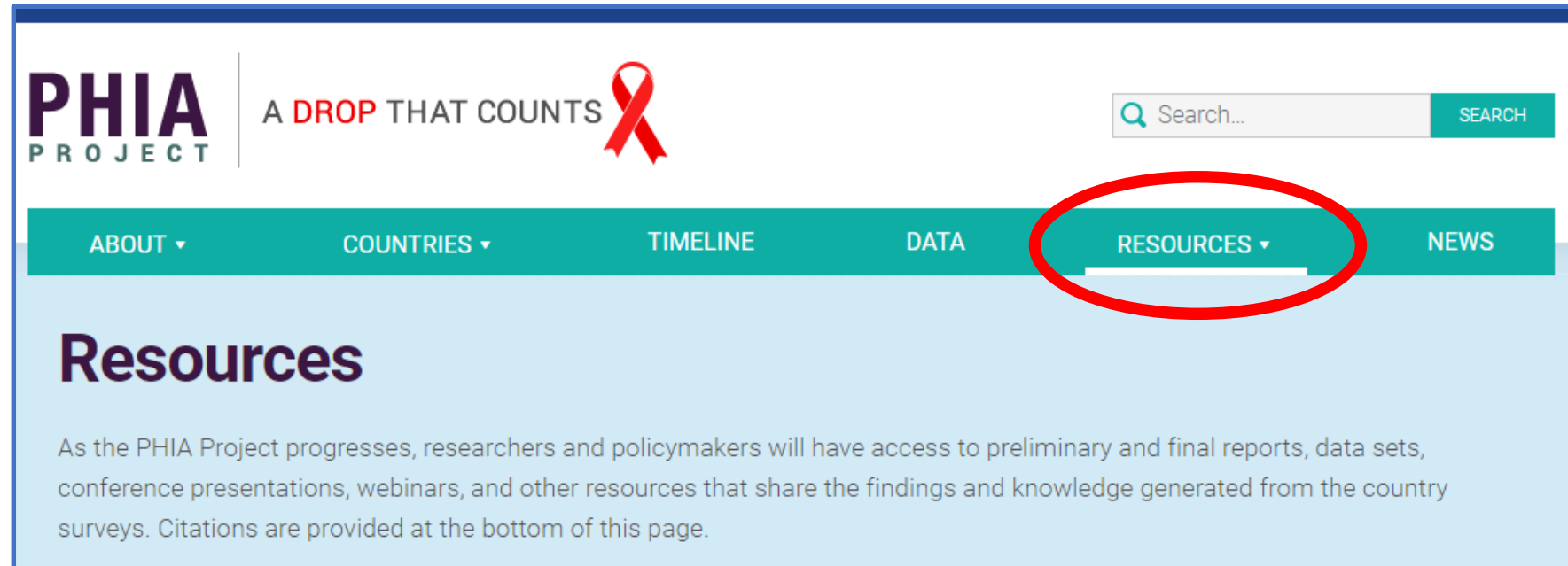
# Presentation Outline

- **Background**
  - Global HIV epidemiology
- **PHIA Project**
  - Survey design and weighting
  - Lab methods
  - Results
  - Reports
  - Data visualization (video)
  - Public release dataset (video)
- **Conclusions**



# Reports

- Available in “Resources” section
- Report types:
  - Summary sheets
  - First reports
  - Final reports

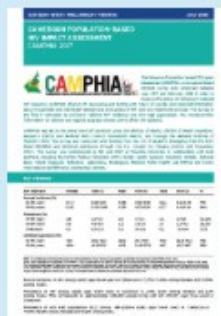


<https://phia.icap.columbia.edu/>

# 11 PHIA Summary Sheets Available

## Summary Sheets

- PDFs
- 4 to 6 pages
- Tables, charts, maps by sex, age, and region



[Cameroon Summary Sheet](#)



[Cote D'Ivoire Summary Sheet \(English, French\)](#)

[Slides with Figures and Maps](#)



[Ethiopia Summary Sheet](#)



[Eswatini \(SHIMS2\) Summary Sheet](#)



[Lesotho Summary Sheet](#)

[Slides with Figures and Maps](#)



[Malawi Summary Sheet](#)

[Slides with Figures and Maps](#)



[Namibia Summary Sheet](#)

[Slides with Figures and Maps](#)



[Tanzania Summary Sheet](#)

[Slides with Figures and Maps](#)



[Uganda Summary Sheet](#)

[Slides with Figures and Maps](#)



[Zambia Summary Sheet](#)

[Slides with Figures and Maps](#)



[Zimbabwe Summary Sheet](#)

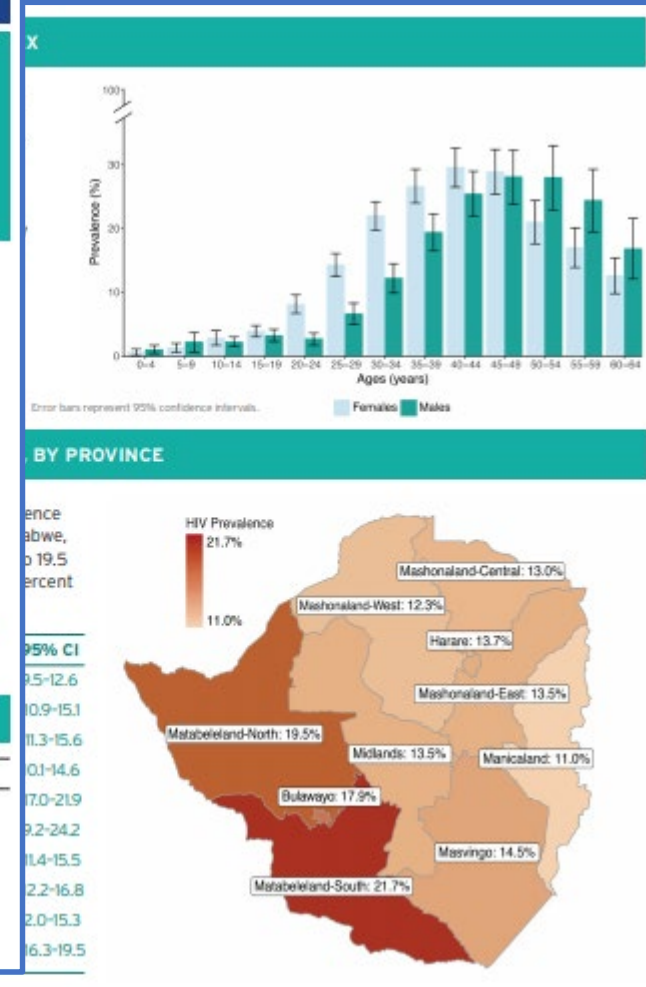
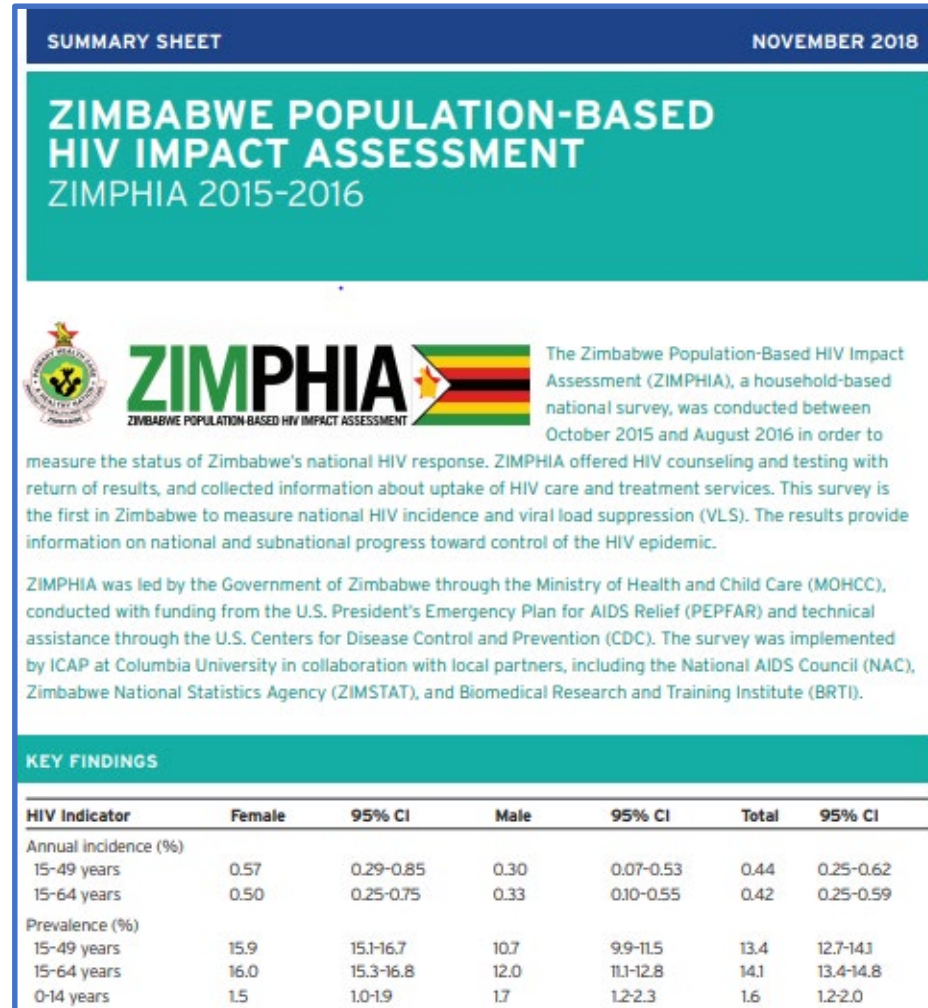
[Slides of Figures and Maps](#)

<https://phia.icap.columbia.edu/>

# Example: ZIMPHIA Summary Sheet

Summary Sheets include:

- HIV incidence
- HIV prevalence
- Viral load suppression
- UNAIDS 90-90-90 targets



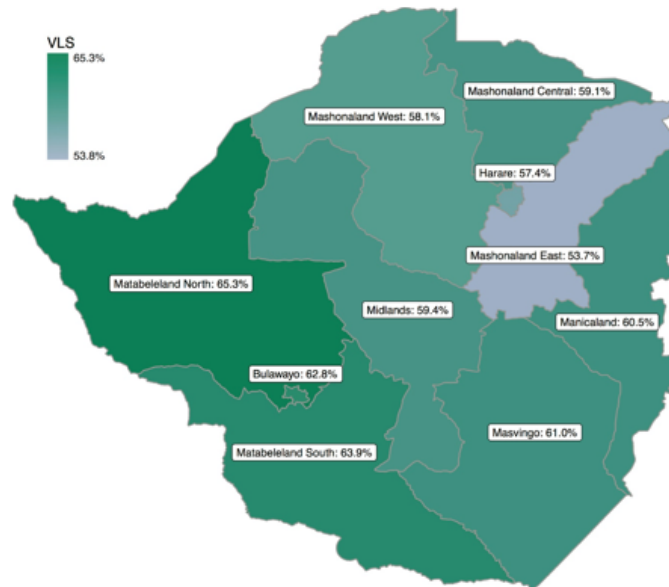
<https://phia.icap.columbia.edu/>

# Example: ZIMPHIA Slides

PowerPoint slide sets include:

- Charts
- Maps
- Descriptive comments

## Viral Load Suppression Among HIV-Positive Adults, by Province, ZIMPHIA 2015-2016



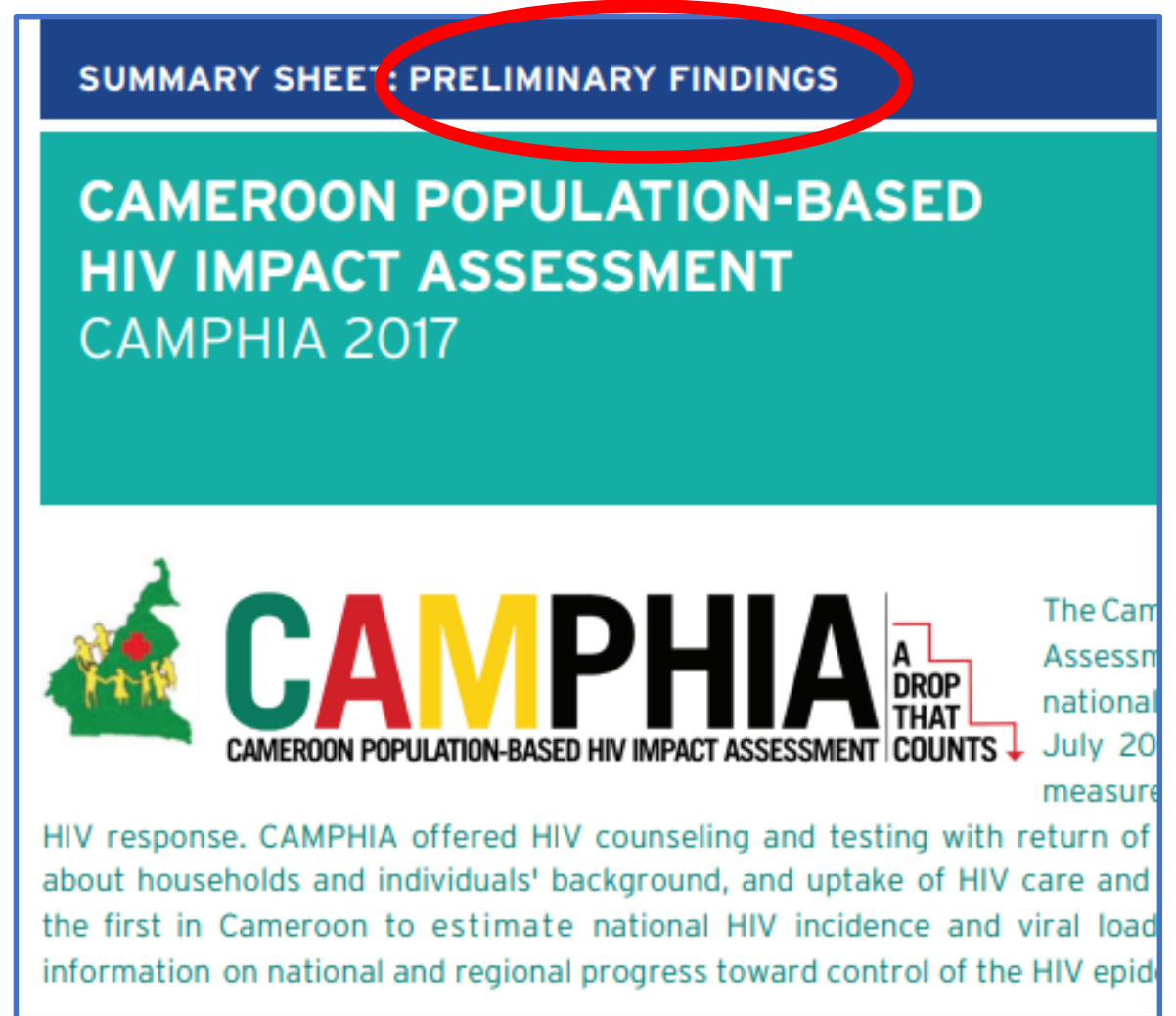
Among HIV-positive adults ages 15 to 64 years, prevalence of VLS varies geographically across Zimbabwe, ranging from 53.7 percent in Mashonaland East to 65.3 percent in Matabeleland North.

<https://phia.icap.columbia.edu/>



# Example: Preliminary Summary Sheets

- Summary Sheets provide results quickly
- Some include preliminary findings that have not yet been adjusted for the presence of antiretrovirals
- Preliminary findings are indicated in the title
- Summary sheets with preliminary findings are replaced with final sheets when they become available



# PHIA First Reports

## 3 First Reports Available

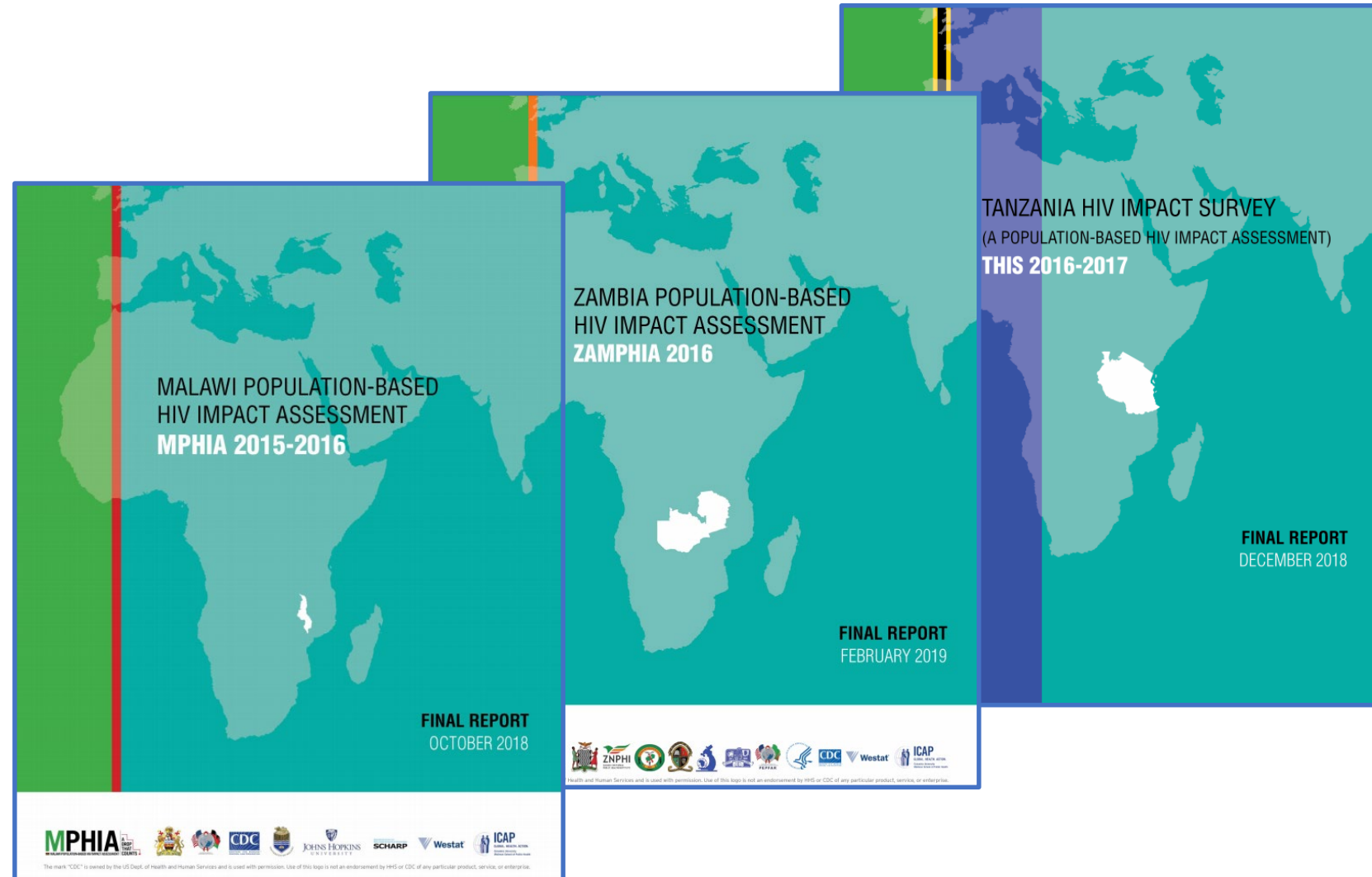
- Executive summary
- More detailed presentation of prevalence, incidence, 90-90-90, and other results than in the Summary Sheets
- Discussion of design and methods
- Produced for earlier PHIA surveys only
- All surveys have final reports



# PHIA Final Reports

Final Reports include:

- ARV-adjusted 90-90-90 estimates
- Late HIV diagnosis (CD4+ cell count)
- Transmitted resistance to ARVs
- Retention on ART
- TB (linkage, diagnosis and treatment)
- HIV knowledge and attitudes

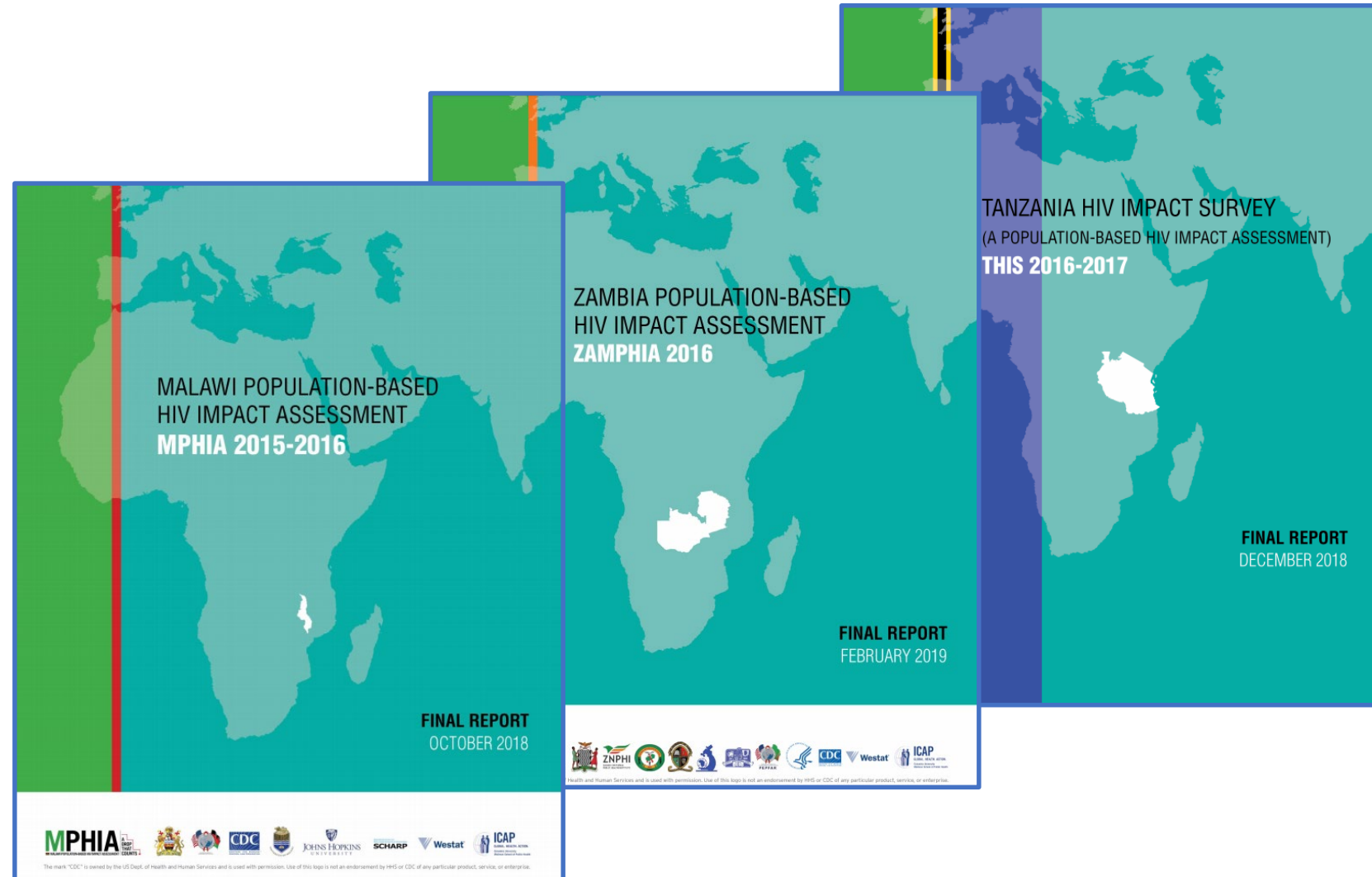


<https://phia.icap.columbia.edu/>

# PHIA Final Reports (continued)

Final reports include detailed discussion of:















- Sample frame and design
- Implementation
- Biomarker testing
- Data processing
- Response rates
- HIV testing methodology
- Estimates of sampling errors
- Questionnaires
- Consent forms



<https://phia.icap.columbia.edu/>

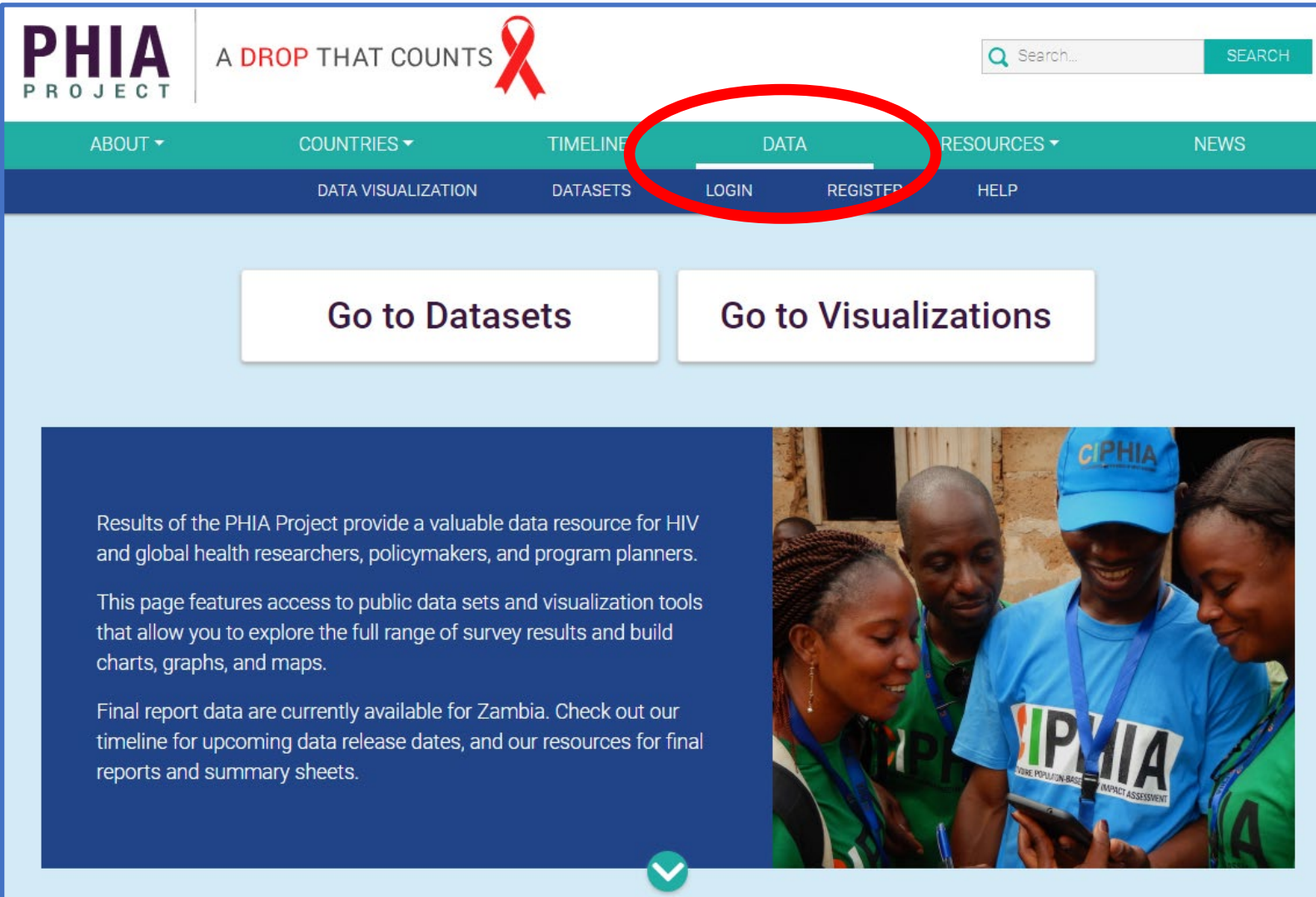


# Deliverables Forecast


	Final Report	Public Dataset
 ZAMBIA	Feb 2019	Mar 2019
 MALAWI	Oct 2018	Apr 2019
 TANZANIA	Apr 2019	May 2019
 ZIMBABWE	Jun 2019	Jul 2019
 ESWATINI	May 2019	Jun 2019
 UGANDA	Jun 2019	Jul 2019
 LESOTHO	Jun 2019	Jul 2019
 NAMIBIA	Jul 2019	Aug 2019
 CAMEROON	Jul 2019	Sept 2019
 COTE D'IVOIRE	Aug 2019	Oct 2019
 ETHIOPIA	Sept 2019	Oct 2019
 KENYA	April 2020	May 2020
 RWANDA	Jan 2020	Feb 2020
 HAITI	Aug 2020	Sep 2020

<https://phia.icap.columbia.edu/>

# PHIA Data Visualization Tool



The screenshot shows the PHIA Project website. The header includes the PHIA Project logo, the tagline 'A DROP THAT COUNTS' with a red ribbon icon, and a search bar. The navigation menu has tabs for ABOUT, COUNTRIES, TIMELINE, DATA (highlighted with a red circle), RESOURCES, and NEWS. Below the navigation menu, there are buttons for 'Go to Datasets' and 'Go to Visualizations'. The main content area features text about the PHIA Project's data resource and a photo of three people (two women and one man) wearing PHIA-branded clothing and looking at a smartphone. A green checkmark icon is visible in the bottom right corner of the main content area.

**PHIA PROJECT** A **DROP** THAT COUNTS 

Search... **SEARCH**

ABOUT ▾ COUNTRIES ▾ TIMELINE **DATA** RESOURCES ▾ NEWS


DATA VISUALIZATION DATASETS LOGIN REGISTERED HELP


**Go to Datasets** **Go to Visualizations**

Results of the PHIA Project provide a valuable data resource for HIV and global health researchers, policymakers, and program planners.

This page features access to public data sets and visualization tools that allow you to explore the full range of survey results and build charts, graphs, and maps.

Final report data are currently available for Zambia. Check out our timeline for upcoming data release dates, and our resources for final reports and summary sheets.





- Allows detailed data exploration without use of statistical software
- Available in “Data” section
- Produces charts, tables, and maps

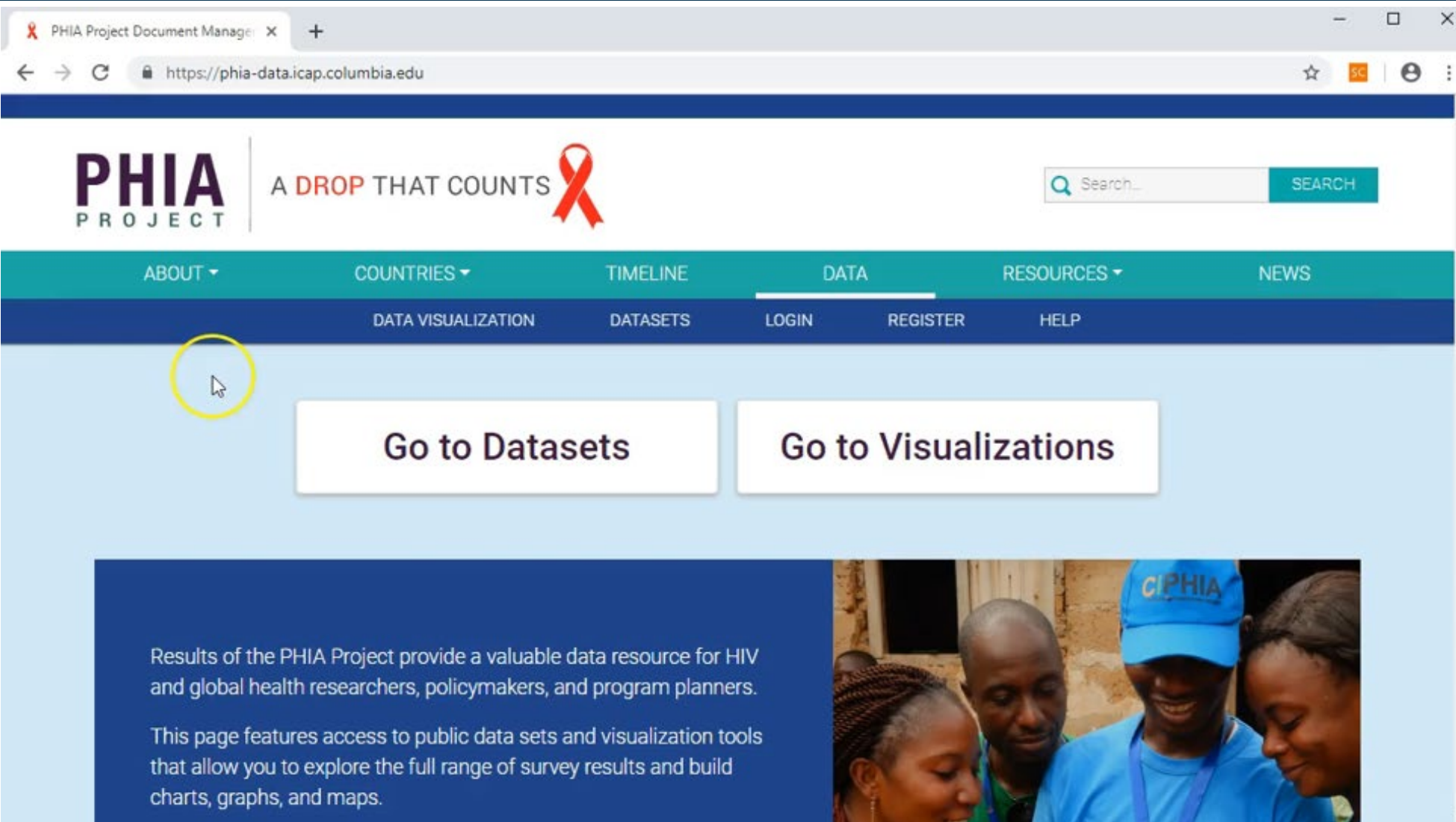
# PHIA Data Visualization Tool

The screenshot displays the PHIA Data Visualization Tool interface. At the top right, there are three tabs: 'CHART VIEW' (selected), 'TABLE VIEW', and 'MAP VIEW', along with a download icon. Below these is a filter bar with five categories: 'Country' (selected), 'Indicator', 'Age Range', 'Gender', and 'Stratification'. A 'CLEAR SELECTIONS' button is located to the right of the filter bar. Under the 'Country' filter, a message states 'You can select more than one country'. Below this message are three toggle switches for 'Malawi', 'Tanzania', and 'Zambia', all of which are currently turned off. A green upward arrow icon is positioned below the toggle switches.

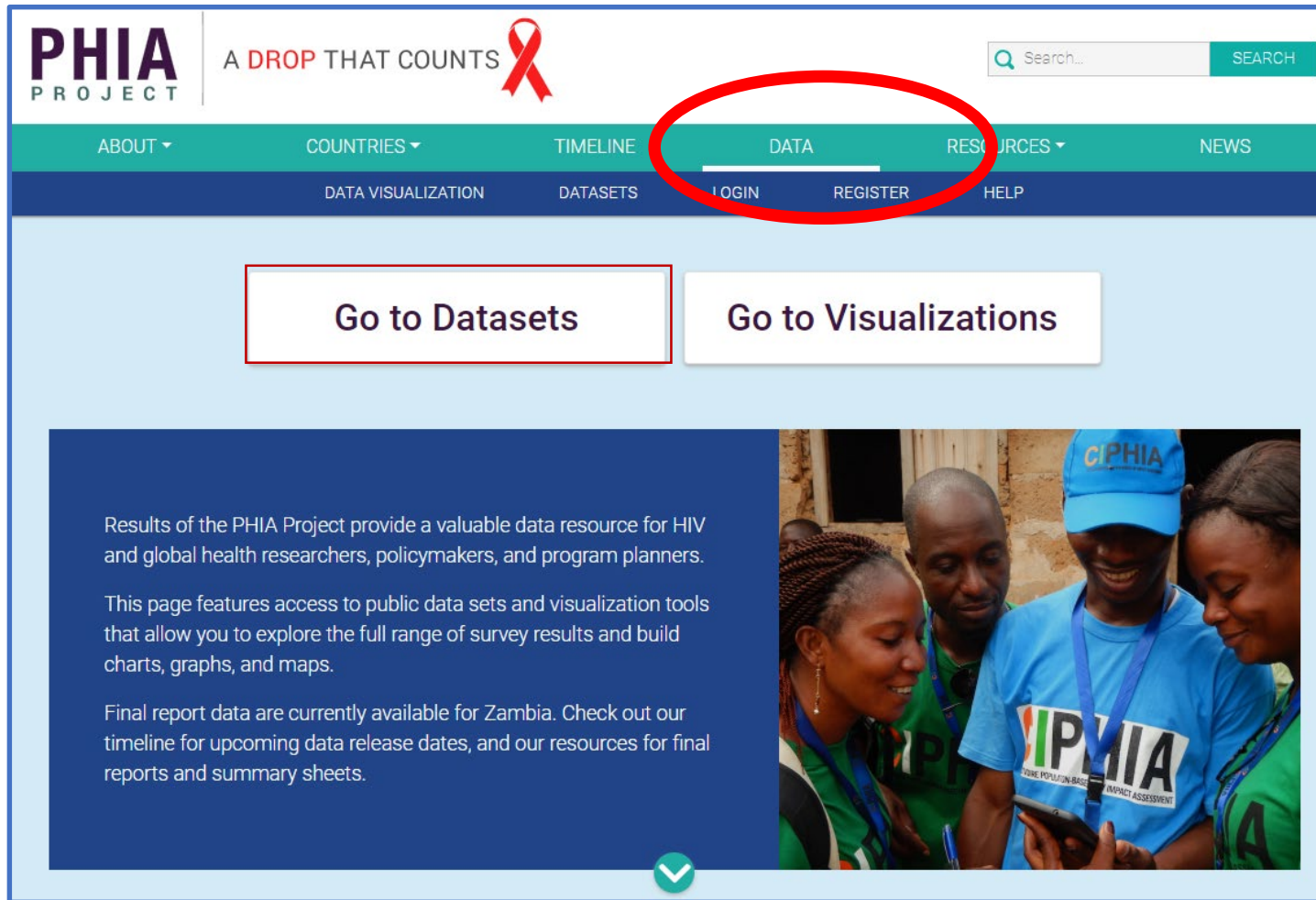
- Users can compare within and across countries to explore spatial trends and variations across contexts
- Supports customizing by:
  - Indicator
  - Country
  - Sub-national area
  - Stratifiers such as age, gender, income

# Video: Using the PHIA data visualization tool

Available at:  
<https://youtu.be/mSUGJfdETjw>



# PHIA Public-use Dataset Dissemination



## Public-use datasets




















- Promote use and dissemination of PHIA results
- Support analysts in conducting their own analyses
- Require statistical software to analyze



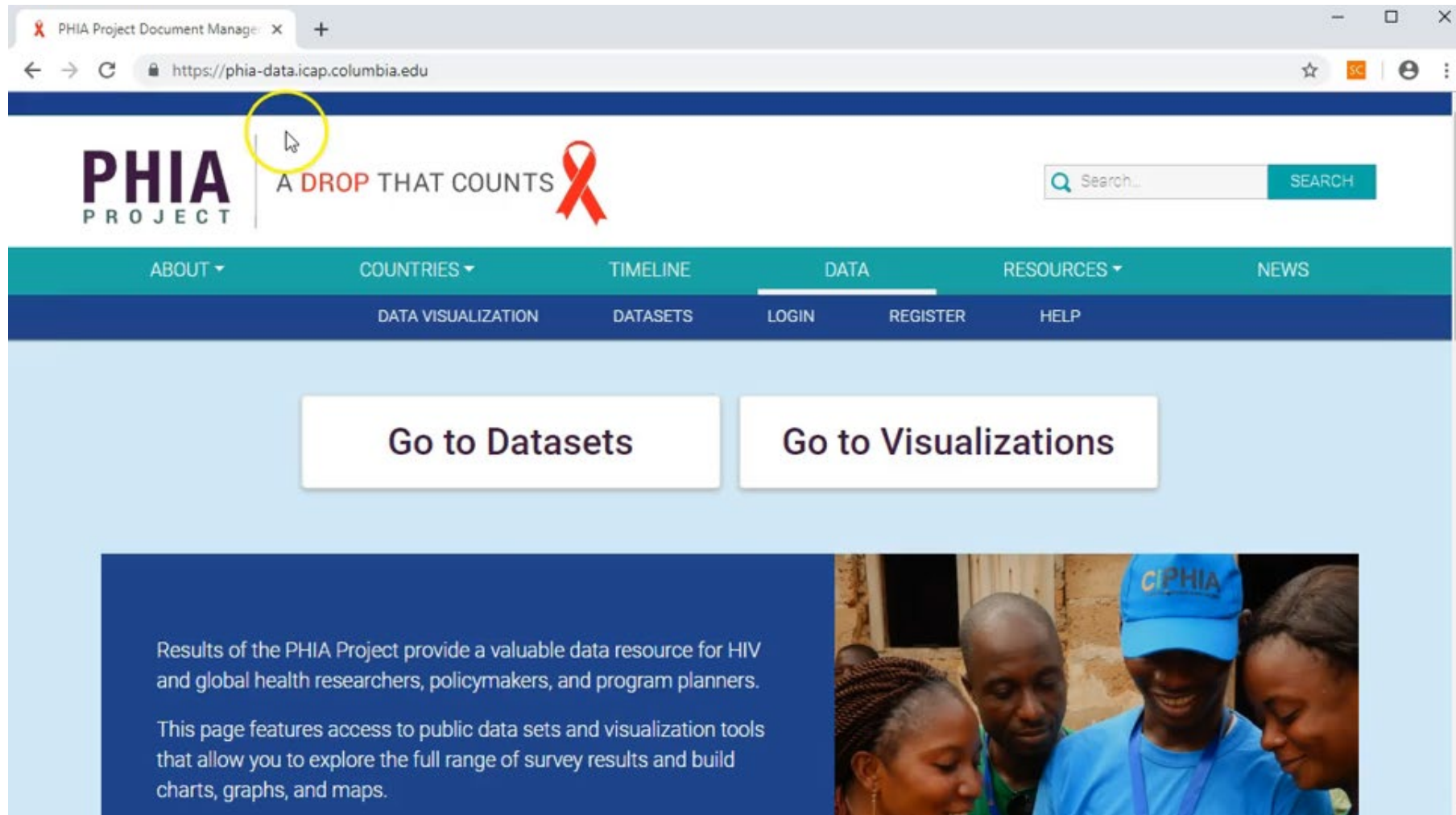
# PHIA public-use dataset dissemination

## Public-use datasets

- Contain anonymized household, individual, and biomarker data
- Include technical documentation
- Are available in SAS, Stata, and CSV formats
- Available with Final Report for each country

SELECT A COUNTRY	AVAILABLE DOCUMENTATION:	AVAILABLE DATASETS:
 Eswatini	  PHIA Data Use Manual.pdf	 Household Dataset
 Malawi	  ZAMPHIA 2016 Data Use Manual Supplement.pdf	 Individual Interview Datasets
 Tanzania	  ZAMPHIA 2016 Questionnaires (All Questionnaires in One).xlsx	 Biomarker Datasets
 Zambia	  ZAMPHIA 2016 Household Questionnaire.pdf	 Intermediary Weights
 Zimbabwe	  ZAMPHIA 2016 Adult Questionnaire.pdf	

# Video: Accessing PHIA documentation and datasets



Available at:  
<https://www.youtube.com/watch?v=Wn5ZVnvu82g>

# Data Requests

## Request requirements

- Title
- Background/Context
- Primary research question
- Exposure/outcome variables and covariates
- Stat Methods
- Collaborators
- Where
- Co-researchers

Project Title

Project Description/Planned Data Use (Minimum 50 words, Maximum 400 words)

☐ Co-researchers?

**PHIA Data Use Agreement**

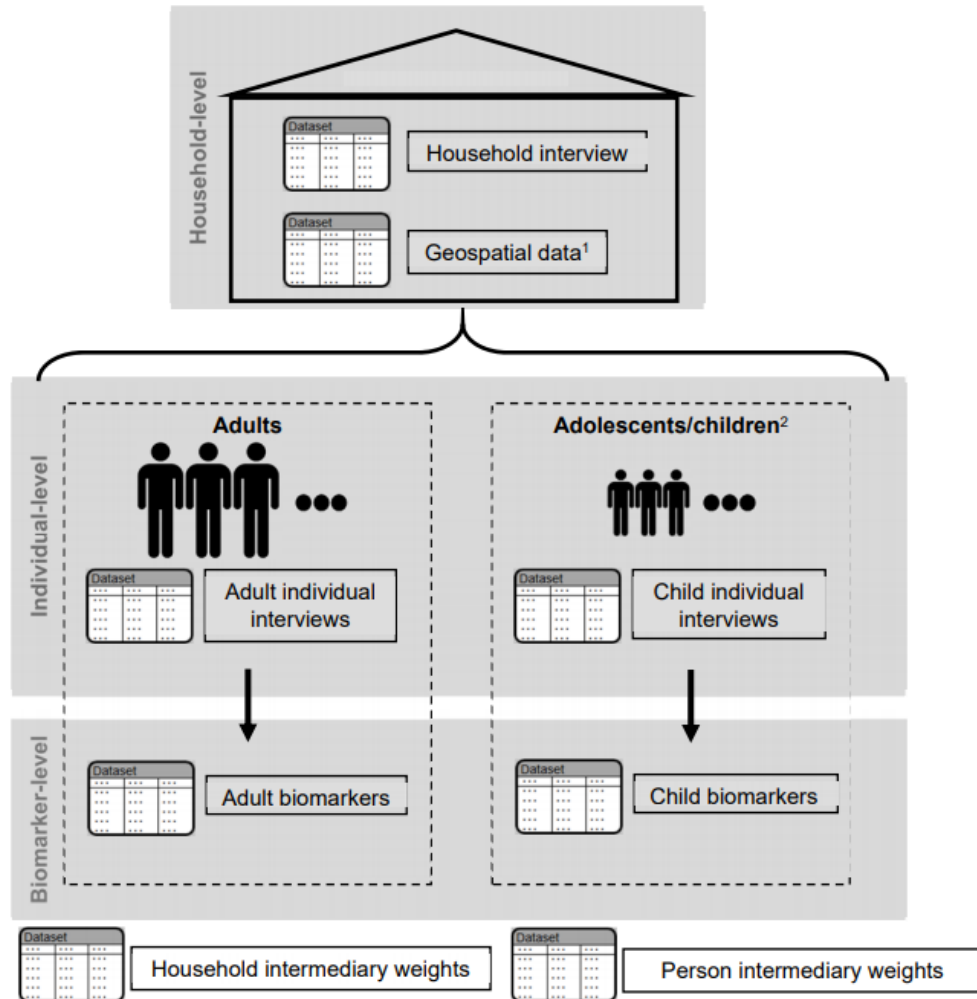
- Use these data only for analysis purposes stated in the data access request and not attempt to identify individuals or Institutions.
- Present all data and results in such a way as to prevent deductive disclosure of individuals.
- Not share data with others or use beyond the purpose(s) stated in the data access request.
- Not to publish the received individual data on the World Wide Web and not to distribute them to any other organization or individual. Only summary level data is acceptable for publication.
- Not to produce a back-up data copy of the survey data except as required for the analysis or maintenance of the data

Agree to the statement above by entering your full name in the box below

Request Access



# Structure of PHIA datasets



- Each household record is associated with one or more individual records
- Each individual record may have an associated biomarker record
- Separate datasets are provided for records at different levels of analysis
- Individual and biomarker tests are separated for adults and children
- Geospatial data (masked location data) will be available upon request

<sup>1</sup> Requires special request.

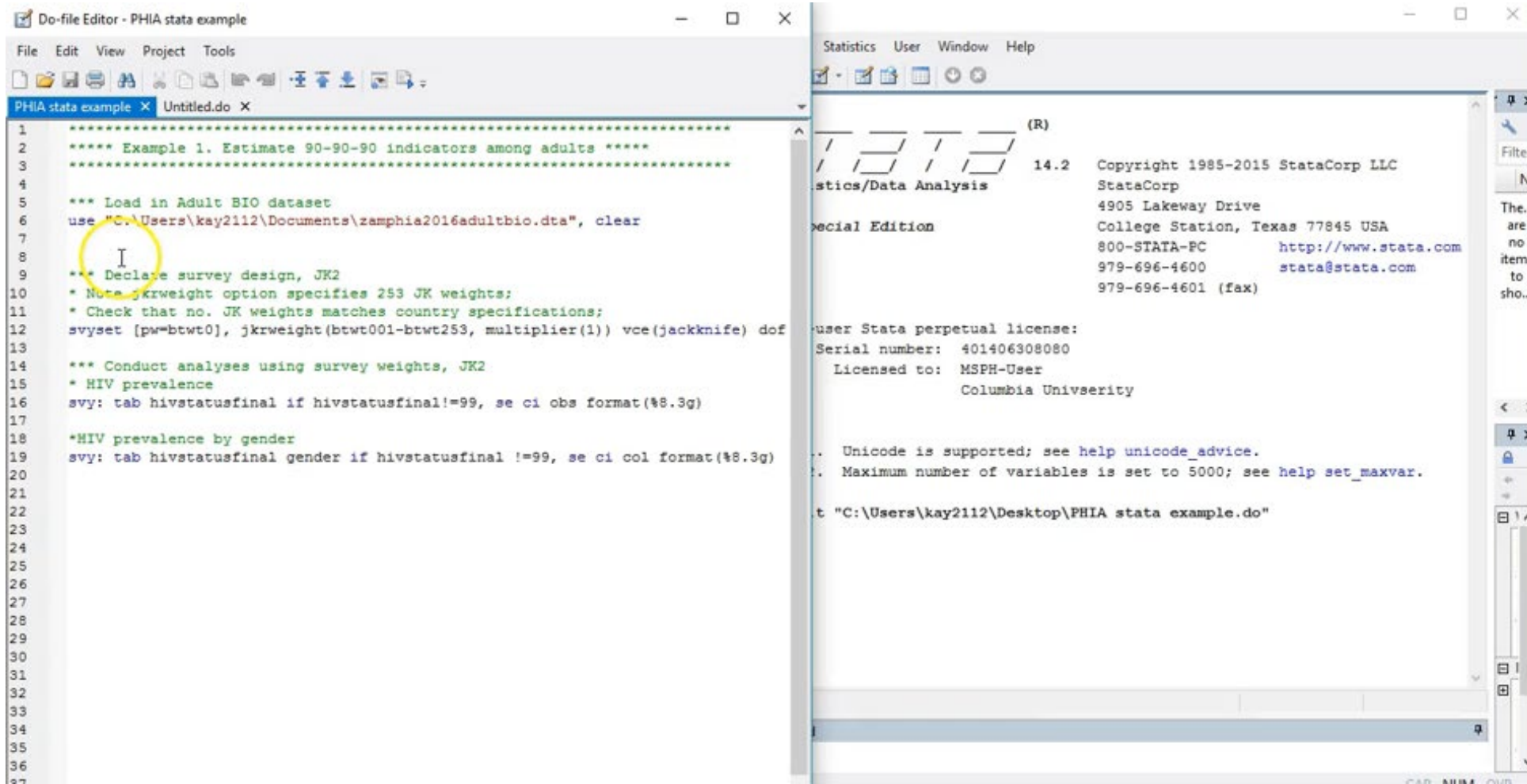
<sup>2</sup> See each PHIA survey's **Data Use Manual Supplement** for survey-specific definitions of adolescents and children.

# Getting started

After receiving the requested datasets:

- Review PHIA data use manual
- Review the survey-specific data use manual supplement
- Note top count of replicate weights
- Open datasets in a statistical package and compare record counts to counts included in the survey-specific data use manual
- Run unweighted frequencies and match some variables from the codebooks and/or reports
- Run weighted analyses and match some tables from the final report

# Video: Analyzing PHIA data in Stata



The screenshot displays the Stata software interface. On the left, the 'Do-file Editor' window is open, showing a script titled 'PHIA stata example'. The script contains the following code:

```
1 *****  
2 ***** Example 1. Estimate 90-90-90 indicators among adults *****  
3 *****  
4  
5 *** Load in Adult BIO dataset  
6 use "C:\Users\kay2112\Documents\zamphia2016adultbio.dta", clear  
7  
8 *** Declare survey design, JK2  
9 * Note jkrweight option specifies 253 JK weights;  
10 * Check that no. JK weights matches country specifications;  
11 svyset [pwt=btwt0], jkrweight(btwt001-btwt253, multiplier(1)) vce(jackknife) dof  
12  
13 *** Conduct analyses using survey weights, JK2  
14 * HIV prevalence  
15 svy: tab hivstatusfinal if hivstatusfinal!=99, se ci obs format(%8.3g)  
16  
17 *HIV prevalence by gender  
18 svy: tab hivstatusfinal gender if hivstatusfinal !=99, se ci col format(%8.3g)  
19  
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37
```

On the right, the 'Stata' window is open, displaying the Stata logo and version information (14.2). It also shows the copyright notice for StataCorp LLC, the user's perpetual license information (Serial number: 401406308080, Licensed to: MSPH-User, Columbia University), and the path to the do-file being executed: "C:\Users\kay2112\Desktop\PHIA stata example.do".

Available at:  
<https://youtu.be/bAEhfdCz070>

# Conclusions

- High participation in the PHIA surveys, with high quality samples and data from over 375,000 adults and children
- Data highlight achievements and gaps of national HIV response programs
  - Remarkable progress toward 90-90-90 targets
  - Challenges among younger adults and children
- Datasets and visualization tools allow users to compare within and across countries and explore spatial trends and variations across contexts
- Many final reports and datasets forthcoming

# Acknowledgements

- **Survey participants**
- **Colleagues in CDC country offices, ministries of health and national statistical bureaus in Cameroon, Cote D'Ivoire, Eswatini, Ethiopia, Haiti, Kenya, Lesotho, Malawi, Namibia, Rwanda, Tanzania, Uganda, Zambia and Zimbabwe**
- **CDC Atlanta colleagues**
  - Laura Porter
  - Drew Voetsch
  - Kristin Brown
- **ICAP colleagues**
  - David Hoos
  - Elizabeth Radin
  - Getrude Makurumidze
  - Kay Yuengling

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# Connect with ICAP

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